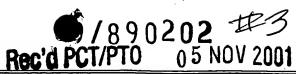
FORM PTQ-1390 (REX 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 100564-00076

| | | TRANSMITTAL LETTER TO THE UNIT | DATE: November 5, 2001 | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|
| | | DESIGNATED/ELECTED OFFICE (E CONCERNING A FILING UNDER 35 | U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) 09/890,202 | | | | | | | |
| | | IATIONAL APPLICATION NO. 00/00564 | INTERNATIONAL FILING DATE 26 January 2000 | PRIORITY DATE CLAIMED 29 January 1999 | | | | | | |
| | TITLE OF INVENTION: METHOD FOR PREPARING ENDOTOXIN-FREE NUCLEIC ACIDS OR NUCLEIC ACIDS WITH REDUCED ENDOTOXIN CONTENT AND THE USE THEREOF | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US: Stefan GRIMM, Frank NEUDECKER | | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) | | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | | erather than delay examination until 9(1). | | | | | | | | |
| 4. | | A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date. | | | | | | | | |
| 5. | Ċ | A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a. is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | | A translation of the International Application into English [35 U.S.C. 371(c)(2)]. | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | |
| 8. | | A translation of the amendments to the claims under F | PCT Article 19 [35 U.S.C. 371(c)(3)]. | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) [35 U.S.C. 37 | 1(c)(4)]. | | | | | | | |
| 10. | | A translation of the annexes to the International Prelin [35 U.S.C. 371(c)(5)]. | ninary Examination Report under PCT | Article 36 | | | | | | |
| Items 11 - 16 below concern other document(s) or information included: | | | | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement under 37 C.F.R. | 1.97 and 1.98. | | | | | | | |
| 12. | \boxtimes | An assignment document for recording. A separate co | ver sheet in compliance with 37 C.F.R. | 3.28 and 3.31 is included. | | | | | | |
| 13. | | A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment | nt. | | | | | | | |
| 14. | | A substitute specification. | | | | | | | | |
| 15. | | A change of power of attorney and/or address letter. | | | | | | | | |

16. ☑ Other items or information: ☑ PCT/IPEA/409



| | | | | SC Q I O I/I I O | UD HOT ZO |
|---|--|--|--|--|------------------|
| II S APPIN NO (IF KNOWN SEE 37 C.F.R. 1.50) 09/890,202 | | INTERNATIONAL APPLICATION NO. PCT/EP00/00564 | | ATTORNEY DOCKET NO. 100564-00076 DATE: November 5, 2001 | |
| | | | | | |
| | ROPRIATE BASIC | | | \$ | |
| Surcharge of \$130.00 for fur than ☐ 20 ☒ 30 months fro [37 C.F.R. 1.492(e)]. | nishing the oath or | declaration later | | \$ 130.00 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 16 - 20 = | 0 | X \$ 18.00 | \$ 0.00 | |
| Independent Claims | 3 - 3 = | 0 | X \$ 84.00 | \$ 0.00 | |
| Multiple dependent claim(s) | (if applicable) | | + \$280.00 | \$ 0.00 | |
| то | TAL OF ABOVE C | \$ 130.00 | | | |
| Reduction by one-half for filing Verified Small Entity statemet (Note 37 C.F.R. 1.9, 1.27, 1.3) | nt must also be file | \$ 65.00 | | | |
| SUBTOTAL = | | | | \$ 65.00 | |
| Processing fee of \$130.00 fo later the ☐ 20 ☐ 30 months [37 C.F.R. 1.492(f)]. | r furnishing the Eng from the earliest cl | \$ 0.00 | | | |
| TOTAL NATIONAL FEE = | | | | \$ 65.00 | |
| Fee for recording the enclose must be accompanied by an (37 C.F.R. 3.28, 3.31). \$40.0 | appropriate cover s | \$ 40.00 | | | |
| | TOTAL FEES EN | ICLOSED = | | \$ 105.00 | |
| /2001 NNGUYEN 00000028 09/ | 390202 | Amount to be refunded | \$ | | |
| :254 | 65.00 DP | | A 14 | Charged | \$ |
| b. Please charge my I A duplicate copy of C. The Commissioner Deposit Account N NOTE: Where an appropria | Deposit Account No this sheet is enclos is hereby authorize o. 01-2300. ate time limit under or (b)] must be filed NCE TO: Kahn | sed. d to charge any add | ount of \$ to outline toutline to outline to outline to outline to outline to outline to | | y overpayment to |
| Washingt n, D.C. 20036-5 T I: (202) 857-6000 Fax: (20 | | <u>{</u> | Robert B. Murray Reg. No. 22,980 | Herra | 7 |